STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING Docket No. INFORMATION DISCLOSURE STATEMENT UC97-156-8 In Re Application Of: SOLGAARD, O. ET AL. MAY 2 2 2002 Serial No. Filing Date Examiner Group Art Unit 09/849,096 MAY 4, 2001 2874 MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH Invention:

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

☐ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Dated: May 22, 2002

JOHN P. O'BANION, REG. NO. 33,201 O'BANION & RITCHEY LLP 400 CAPITOL MALL, SUITE 1550 SACRAMENTO, CA 95814 (916) 498-1010

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CERTIFICATE OF MApplicant(s): SOLGAARI	IAILING BY "EXPRESS I D, O. ET AL.	MAIL" (37 CFR 1.10)	Docket No. UC97-156-8
Serial No.	Filing Date	Examiner	Group Art Unit
09/849,096	MAY 4, 2001	LEE, J.	2874
Invention: MULTI-WAV	ELENGTH CROSS-CONNECT	OPTICAL SWITCH	·
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Statement Under 37 CFR	R 1.97(e) Accompanying Informa	ation Disclosure Statement (Page 1)	ROOK
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37 CFR 1 10 in an enve	lone addressed to: The Assista	int Commissioner for Patents, Was	hington D.C. 20221 on
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(Date)			
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TRAN		F INFORMATION DISCLO Inder 37 CFR 1.97(b) or 1.97		Docket No. UC97-156-8
In Re	Application Of: S	<u> </u>	2 2 2002 (28)	
	Serial No.	Filing Date	TRACEMANT Examiner	Group Art Unit
(09/849,096	MAY 4, 2001		2874
Title:	MULTI-WAVEI	LENGTH CROSS-CONNECT OP	TICAL SWITCH	でカ
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		37 CI	FR 1.97(b)	
1. 🗖	1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.			
		37 C	FR 1.97(c)	
2. 🛚	of a national ap	Disclosure Statement submitted plication, or the date of entry of plication; or after the mailing date of either	the national stage as set for ate of a first Office Action of	rth in 37 CFR 1.491 in an
	1.	a Final Action under 37 CFR 1.1	13, or	
	2.	a Notice of Allowance under 37 (OFR 1.311,	
	whichev	er occurs first.		
	Also submitted h	nerewith is:		
	🛛 a certifica	tion as specified in 37 CFR 1.97((e);	
		OR		
		et forth in 37 CFR 1.17(p) for s CFR 1.97(c).	submission of an Information	n Disclosure Statement

	TINFORMATION DISCLO Inder 37 CFR 1.97(b) or 1.97		Docket No. UC97-156-8
In Re Application Of: SOLGAARD, O. ET AL.			
Serial No. 09/849,096	Filing Date MAY 4, 2001	TRADENIA PROPERTY Examiner	Group Art Unit
Title: MULTI-WAVEI	ENGTH CROSS-CONNECT OP	TICAL SWITCH	2874 PATCEINED
	Paym (Only complete if Applicant elects to	ent of Fee to pay the fee set forth in 37 CFR 1	.17(p))
as described belo Charge th Credit and Charge a Certificate of	mmissioner is hereby authorized w. A duplicate copy of this shee the amount of y overpayment. In additional fee required. Transmission by Facsimile* Inductional authorization to charge the facsimile transmitted to the United the Emark Office (Fax. No.	Certificate of Maili I certify that this docume on first class mail under 37 C.F	ng by First Class Mail Int and fee is being deposited with the U.S. Postal Service as F.R. 1.8 and is addressed to the or Patents, Washington, D.C.
	Signature		Mailing Correspondence
*This certificate may only-be used if paying by deposit account. Dated: May 22, 2002 JOHN P. O'BANION, REG. NO. 33,201 O'BANION & RITCHEY LLP 400 CAPITOL MALL, SUITE 1550 SACRAMENTO, CA 95814 (916) 498-1010			

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CERTIFICATE OF	MAILING BY "EXPRESS MAIL" (37 CFR 1.10	Docket No.
	RD, O. ET AL.	UC97-156-8
Serial No.	Filing Date Examiner	Group Art Unit
09/849,096	Filing Date MAY 4, 2001 MAY 2 2 2002 Examiner LEE, J.	2874
Invention: MULTI-WA	VELENGTH CROSS-CONTECT ORSE AL SWITCH	
		TC 200 MAL ROOM 82)
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Serial No.		2 2002 8	Examiner	Group Art Unit
09/849,096	MAY 4, 2001		LEE, J.	2874
Invention: MULTI-WA	VELENGTH CROSS-CONNEC	QISTICAL	SWITCH	-41
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Serial No. 09/849,096	Filing Date	Examiner	Group Art Unit
	MAY 4, 2001	LEE, J.	2874
vention: MULTI-WA	VELENGTH CROSS-CONNECT	OPTICAL SWITCH	
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		Jerry V. King	,
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